

Controlling DC–DC Converter for Energy Cache Classification with Dynamic Desolation

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Abstract-this paper addresses a bidirectional dc-dc converter suitable for an energy storage system with an additional function of galvanic isolation. An energy storage device such as an electric double layer capacitor is directly connected to a dc side of the dc-dc converter without any chopper circuit. Nevertheless, the dc-dc converter can continue operating when the voltage across the energy storage device drops along with its discharge. Theoretical calculation and experimental measurement reveal that power loss and peak current impose limitations on a permissible dc-voltage range. This information may be useful in design of the dc-dc converter. Experimental results verify proper charging and discharging operation obtained from a 200-V, 2.6-kJ laboratory model of the energy storage system. Moreover, the dc-dc converter can charge the capacitor bank from zero to the rated voltage without any external recharging circuit.
Index Terms-Bidirectional isolated dc-dc converter, electric double layer capacitors, energy storage system, loss analysis, starting procedure.

I. INTRODUCTION

The Electric power generated by renewable energy sources is unstable in nature, thus producing a bad effect on the utility grid. This fact spurs research on energy storage systems to smooth out active-power flow on the utility grid [1],[2]. Fig. 1 shows a simplified existing energy storage system employing a line-frequency (50- or 60-Hz) transformer, a PWM converter, a bidirectional chopper, and an energy storage device such as electric double layer capacitors (EDLCs) or lithium-ion batteries. The transformer is indispensable for some applications that require voltage matching and/or galvanic isolation between the utility grid and the energy storage device. Replacing the line-frequency transformer with a high-frequency isolated dc-dc converter would make the energy storage system more compact and flexible. Various bidirectional isolated dc-dc converters have been proposed as the interface to energy storage devices with focus on automotive or fuel cell applications. Most of the presented dc-dc converters have asymmetrical circuit configurations to couple the two dc links having largely different voltages, several tens of volts and several hundred volts [3]–[10]. Fig. 2 depicts a bidirectional isolated dc-dc converter presented in 1991 [11], [12]. It had two symmetrical single-phase voltage-source full-bridge

converters. It suffered from a low efficiency because the first-generation IGBTs were used as switching power devices at that time [11]. However, advancement in power device technology over the last decade has enabled the dc-dc converter to operate at an efficiency as high as 97% by using the latest trench-gate IGBTs [13].

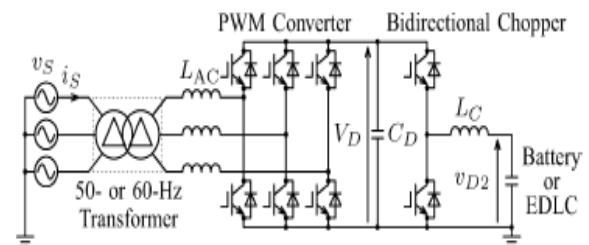


Fig. 1. Existing energy storage system employing a 50- or 60-Hz transformer for voltage matching and/or galvanic isolation.

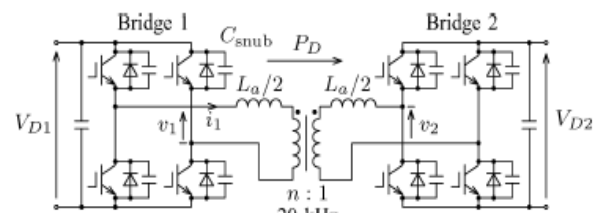


Fig. 2 Bidirectional isolated dc-dc converter.

A similar dc-dc converter in [14] has also achieved an efficiency of 97%. In addition, the use of silicon-carbide power devices in the near future will raise it to 99%. Therefore, the dc-dc converter in Fig. 2 has become a promising candidate as a power electronic interface for an energy storage system. A bidirectional converter has been discussed to exchange electric power between a fuel cell, a battery, and a load [15], based on a three-port extension of the circuit presented in [11]. Appropriately choosing the transformer turn ratio enables to design the voltage rating of the energy storage device, independent of the utility voltage. The energy storage device is directly connected to one of the dc links of the dc-dc converter without any chopper circuit. Nevertheless, the dc-dc converter continues operating even when the voltage across the energy storage device, drops along with its discharge. However, no paper has addressed the

permissible voltage range of in terms of power loss and peak current and no experimental verification has been confirmed, concerning Fig. 3. This paper analyzes the relationship loss, the peak current, and in a dc-dc converter rated at 10 kW and 20 kHz with fixed to 320 V. Then, the dc-dc converter is designed, constructed, and tested to verify the analysis. A 2.6-kJ energy storage system using an electrolytic capacitor bank, together with the dc-dc converter, demonstrates stable charging and discharging operation. Besides, the dc-dc converter can charge the capacitor bank from zero to the rated voltage without any external recharging or starting-up circuit.

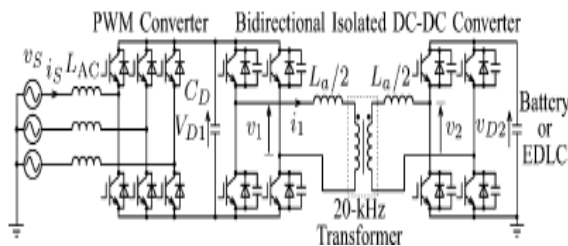


Fig.3 Alternative energy storage system based on the bidirectional isolated dc-dc converter.

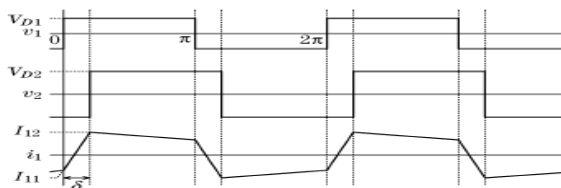


Fig.4 Simplified theoretical waveforms used to analyze the power losses when $V_{D1} < V_{D2}$.

II. THE BIDIRECTIONAL ISOLATED DC-DC CONVERTER

A. Operation Principle and Simplified Theoretical Waveforms:

Fig.4 illustrates simplified theoretical waveforms of the dc-dc converter where. The two single-phase voltage-source full-bridge converters produce square voltages and the power transfer can simply be controlled by adjusting the phase shift between and as expressed by [11]

$$P_D = \frac{V_{D1}V_{D2}}{\omega L} \left(\delta - \frac{\delta^2}{\pi} \right) \quad (1)$$

Where, is the switching angular frequency of the two single-phase voltage-source full-bridge converters, and is the sum of the transformer leakage inductance and that of the Auxiliary inductors. This paper defines a set of two instantaneous values of the current as “switching currents,” and which are calculated as and is the instantaneous values of when

$$I_{11} = -\frac{(V_{D1} + V_{D2})\delta + (V_{D1} - V_{D2})(\pi - \delta)}{2\omega L} \quad (2)$$

$$I_{12} = \frac{(V_{D1} + V_{D2})\delta - (V_{D1} - V_{D2})(\pi - \delta)}{2\omega L} \quad (3)$$

and, respectively, change its polarity from negative to positive. This paper refers a single-phase voltage-source full-bridge converter as a “bridge.” In the

following experiments, the transformer turn ratio is unity for the sake of simplicity.

TABLE I
CIRCUIT PARAMETERS OF THE DC-DC CONVERTER

Rated power		10 kW
Rated DC voltage	V_{D1}, V_{D2}	360 V
DC capacitor	C_D	7,100 μ F
Unit capacitance constant	H	46 ms
Transformer core material		Finemet FT-3M
Transformer turn ratio	n	1 : 1
Transformer leakage inductance	L_{trans}	1.6 μ H (1.6%)
Transformer winding resistance	R_{trans}	17 m Ω (0.13%)
Auxiliary inductor	$L_a/2$	20 μ H (19%)
Auxiliary inductor core material		Ferrite (PC44)
Inductor winding resistance	$R_a/2$	20 m Ω (0.15%)
Snubber Capacitor	C_{snub}	0.01 μ F (1.6%)
Switching Frequency	f	20 kHz

Based on single-phase 360 V, 10 kW, and 20 kHz.

B. Experimental Circuit of the DC-DC Converter:

Table I summarizes the circuit parameters of the dc-dc converter. Four auxiliary inductors, totally having are connected in series with the transformer to obtain an inductance of together with the leakage inductance of the transformer, .1 the inductance of 41.6 is sufficient to maintain a control resolution of power transfer around 120 W because a time resolution of the controller operated at 20 MHz is 50 ns, corresponding to 0.36 at 20 kHz. The following sections analyze relationships between power transfer and power losses in the dc-dc converter. The power losses depend not only on the power transfer, but also the dc

Voltage. When drops along with discharge of the energy storage device, power loss increases at a given power transfer.

III. SNUBBER LOSS

A. Operating Points and ZVS Conditions

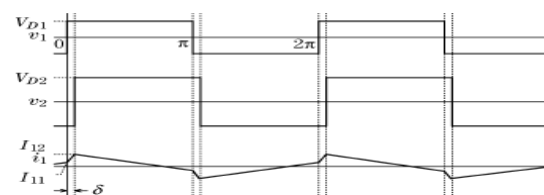


Fig.5 Waveforms when a positive I forces bridge 1 to operate in hard switching manner.

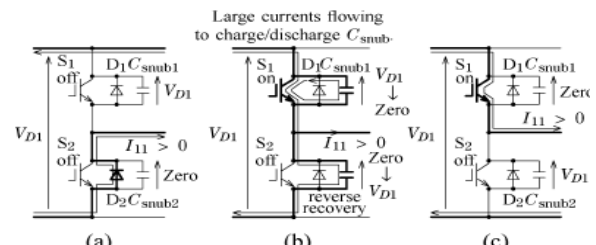


Fig.6 hard switching on a leg in Bridge 1: (a) just before the dead time ends (b) rapid charging/discharging of C, and C, (c) after commutation.

In Fig. 2, a snubber capacitor is connected in parallel with each IGBT both to reduce switching loss

and to damp overvoltage. If the IGBT is turned on with its snubber capacitor charged, the IGBT shorts out the snubber capacitor and dissipates the energy stored in the capacitor. This paper refers to this power loss as “snubber loss.” Each IGBT can be turned on in zero-voltage switching (ZVS) manner to generate no snubber loss when both dc voltages are equal and the power transfer is sufficient to ensure the ZVS operation. However, when the IGBT is not necessarily turned on in ZVS manner, each inductor has an inductance value of $L = 4 = 10 \mu\text{H}$. Two inductors were connected in series to exhibit $L = 2 = 20 \mu\text{H}$. Each of two sets of the two series-connected inductors was connected to each side of the transformer. The use of four separated inductors resulted from the authors’ previous research where the total inductance had to be adjusted in a range from $10 \mu\text{H}$ to $40 \mu\text{H}$.

Fig. 5 shows simplified theoretical waveforms when the IGBTs in bridge 1 are turned on in hard-switching manner. The power transfer is less than that in Fig. 4 although the dc voltages and are the same as those in Fig. 4. This so-called “reverse recovery” occurs in the free-wheeling diodes in bridge 1 because the switching current is positive as can be seen in Fig. 5. However, the four IGBTs in bridge 2 are turned on in ZVS manner. One can classify the turn-on processes of the IGBTs in bridges 1 and 2 into the following three: 1) hard switching operation; 2) incomplete ZVS operation and 3) ZVS operation, depending on the power transfer, the phase shift, the dc voltages and, and the deadtime. The hard-switching operation and the incomplete ZVS operation can take place only in one bridge, whose dc voltage is lower than the other.

Switching Operation:

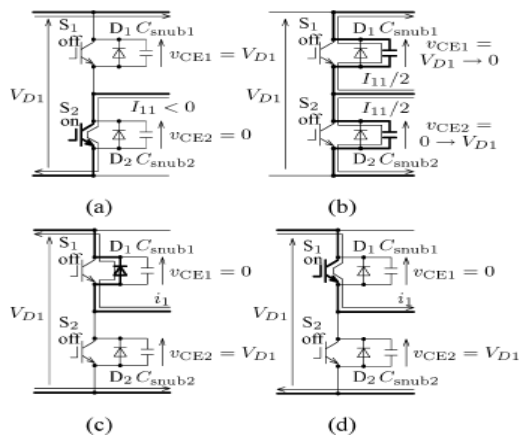


Fig. 7 ZVS on a leg in Bridge 1: (a) just before the dead time starts, (b) just after the dead time starts, (c) diode freewheeling, and (d) current polarity alternates after the dead time.

Fig. 6 shows circuit modes when a leg (for example, consisting of and operates in hard-switching manner. The IGBTs in bridge 1 are turned on in hard-switching manner if the dc voltage is lower than and the following equation is satisfied [11]

$$\delta \leq \frac{V_{D2} - V_{D1}}{2V_{D2}} \pi. \tag{4}$$

The snubber capacitor of or has been charged at [see Fig. 6(a)] before the end of the dead time. Just after it is turned on, it experiences reverse recovery [See Fig. 6(b)]. Only an equivalent resistance of limits the charging/discharging currents, resulting in a joule loss of Note that represents an amount of energy lost at one switching per leg where Then, the snubber loss in bridge 1 is calculated as

$$P_{\text{snub1}} = 4W_{\text{snub1}}f = 4C_{\text{snub}}V_{D1}^2f. \tag{5}$$

2) *ZVS Operation:* Fig. 7 shows circuit modes when a leg in bridge 1 operates in ZVS manner. Before the dead time, the current of is flowing in [see Fig. 7(a)]. Turning off starts the dead time. The current flowing in is commutated to end A resonance begins between the inductance (see Fig. 2), and discharges from to zero while charges from zero to. Once it discharges down to zero, the current is commutated to [see Fig. 7(c)]. Providing a gating signal during conduction of makes ready to conduct the current. Actually starts to conduct the current in ZVS manner after the current in decays to zero and alternates its polarity [see Fig. 7(d)]. This operation results in no snubber loss.

3) *Incomplete ZVS Operation:* The IGBTs in bridge 1 can not necessarily be turned on in ZVS manner even if the switching current is negative. Unlike in the ZVS operation, it does not discharge down to zero, and does not charge up to, if the magnitude of, or is smaller than, where

$$I_{\text{min}} = \frac{2\sqrt{V_{D1}V_{D2}}}{Z_r} \tag{6}$$

$$Z_r = \sqrt{\frac{L}{C_{\text{snub}}}} \tag{7}$$

as stated in [12]. In this case, the operation of the leg makes a direct transition from Fig. 7(b) to (d), not through (c). Turning on with the charged snubber capacitor results in an amount of snubber loss. This paper refers to this as “incomplete ZVS operation”. The following is the calculation of the snubber loss caused by the incomplete ZVS operation. The collector-emitter voltage of, in Fig. 7(b) can be expressed as

$$v_{\text{CE1}}(t) = \frac{(V_{D1} + V_{D2}) + (V_{D1} - V_{D2}) \cos \omega_r t}{2} - \frac{Z_r |I_{11}| \sin \omega_r t}{2} \tag{8}$$

where is the time after the dead time starts, and is the resonant angular frequency of and the collector-emitter voltage is not zero at the end of the dead time. As a result, the IGBT dissipates energy of when it is turned on. Therefore, the snubber loss in bridge 1 is calculated as

$$P_{\text{snub1}} = 4f C_{\text{snub}} \{v_{\text{CE1}}(T_d)\}^2. \tag{9}$$

The snubber loss is proportional to the capacitance of the snubber capacitors. Minimizing the parasitic inductance leads to the use of small snubber capacitors without an excessive overvoltage appearing

across an IGBT, thus resulting in reducing the snubber loss.

IV. CURRENT AND RELATED LOSSES

A. Conducting Loss in the IGBTs:

This paper approximates both the on-state voltage across the IGBT and the forward voltage drop across the freewheeling diode to be 1.5V that is independent of the current flowing in them [13]. The conducting loss in the IGBTs and diodes can be calculated from the average of the absolute value of the current or when either bridge 1 or bridge 2 is operated in hard-switching manner, calculation on Fig. 5 yields:

$$\langle |i_1| \rangle = \frac{1}{\omega L} \left\{ \frac{V_{D1}V_{D2}}{V_{D1} - V_{D2}} \frac{\delta^2}{\pi} + |V_{D1} - V_{D2}| \frac{\pi}{4} \right\}. \quad (10)$$

On the other hand, when both bridge 1 and bridge 2 are operated in either ZVS or incomplete ZVS manner can be calculated from Fig. 4:

$$\langle i_1 \rangle = \frac{V_{D1}V_{D2}}{\omega L(V_{D1} + V_{D2})} \left\{ -\frac{\delta^2}{\pi} + 2\delta + \frac{(V_{D1} - V_{D2})^2 \pi}{4V_{D1}V_{D2}} \right\}. \quad (11)$$

Both and have to be obtained first to calculate and then either (10) or (11) should be applied, depending on the switching manner.

B. Copper Loss in the Transformer and the Auxiliary Inductors:

$$I_1 = \frac{\sqrt{V_{D1}V_{D2}}}{\omega L} \sqrt{-\frac{4}{3\pi}\delta^3 + \delta^2 + \frac{\pi^2(V_{D1} - V_{D2})^2}{12V_{D1}V_{D2}}} \quad (12)$$

Regardless of the switching manner, the copper loss in the transformer and the inductors is obtained as

$$P_{copp} = (R_{trans} + R_a) \cdot I_1^2 \quad (13)$$

Where, is the winding resistance of the transformer and is that of the auxiliary inductors

C. Core Loss in the Auxiliary Inductors.

The four auxiliary inductors were constructed using ferrite (TDK PC44) cores. The effective cross-sectional area of each core was the effective volume was and the turn number was. An air gap of was introduced in the magnetic path. Thus, the instantaneous magnetic flux density is approximately expressed as

$$b_{ind} \simeq \frac{\mu_0}{g} N i_1 \quad (14)$$

where the permeability of vacuum is. The datasheet of PC44 indicates that its core loss per volume is when the maximum flux density is 200 MT at a frequency of 100 kHz at a temperature of 25. In a power electronic context, the Steinmetz equation

Helps well to calculate an amount of core loss in a magnetic material where is a material constant, is a frequency of magnetization, and is the maximum flux Density. The exponents and are not constant values, but depend on and the waveform of the magnetic flux. When a ferrite core is excited at 20 kHz, the eddy-current loss can be much smaller than the hysteresis loss. Thus, is assumed to be unity. The other exponent usually ranges from 2.6 to 2.8 however this paper

takes an approximation of so as to keep the analysis simple. This approximation allows treating the core loss in the same way as the copper loss. If the core loss per volume in PC44 can be approximated by, the coefficient is given as 0.15. This paper assumes that a sinusoidal 20-kHz current having an rms value as large as is responsible for the core loss in the auxiliary Inductors. The core loss in the four auxiliary inductors can be calculated as

$$P_{core} [W] = 4m_f \left(\frac{\mu_0}{g} N \sqrt{2} I_1 \right)^2 V_e = \frac{8m_f \mu_0^2 N^2 V_e}{g^2} I_1^2 \quad (15)$$

where is the coefficient to transform an rms value into an amplitude. Therefore, the core loss in the four auxiliary inductors can be treated as an equivalent winding resistance of

$$R_{core(ind)} = \frac{8m_f \mu_0^2 N^2 V_e}{g^2} = 23 \text{ m}\Omega. \quad (16)$$

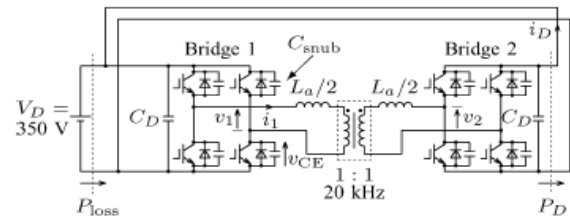


Fig. 8. Experimental circuit to measure the power loss of the dc-dc converter.

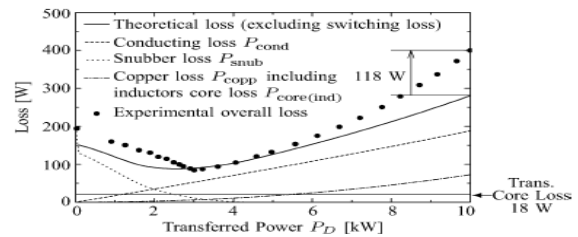


Fig. 9 Comparison between calculated and experimental power losses at $V = V = 350 \text{ V}$.

This equivalent resistance enables to calculate the core loss in the auxiliary inductors as a part of copper loss. Further detailed analysis, for example, as found in [16], should bring an improvement of accuracy to the core-loss calculation although it is out of the scope of this paper.

V. POWER LOSSES AND LOWER LIMIT OF

A Comparison between Theoretical and Experimental Losses:

Theoretical losses described in the previous section are compared to measured results on the basis of an experimental dc-dc converter rated at 10 kW and 20 kHz. Fig. 8 shows the experimental circuit to measure the overall loss of the dc-dc converter. The circuit parameters in Fig. 8 are the same as those in Table I. Both theoretical calculation and experimental measurement are carried out under. Note that in Fig. 8 is a dc voltage source. A connection between the two dc links allows the power to be regenerated back to the

dc voltage source. Thus, the power coming from equals, that is the overall loss in the dc-dc converter. Fig. 9 shows comparisons between the theoretical and experimental losses. The solid line corresponds to the theoretical overall loss, although it excludes the switching loss in the IGBTs or even in the ZVS operation, the switching loss is not zero due to the so-called "tail current" in the IGBTs. It requires modeling of the IGBT switching behavior in this dc converter to theoretically predict the switching loss. However, it is beyond the scope of this paper. When the theoretical losses were obtained as follows. The conducting loss was. The snubber loss was. The copper loss both in the transformer and the inductors including the core loss in the inductors. The core loss in the transformer was almost independent of the power transfer. Thus, the theoretical overall loss is 282 W. The experimental results, on the other hand, was 400 W. Thus, the difference between the theoretical and measured results were 118 W. It would include the switching loss in the IGBTs that was excluded from the theoretical overall loss. In [13], the switching loss in the IGBTs was 90 W when a power of 10 kW was transferred. Although the difference cannot be identified, the theoretical calculations can be valid because the error of 28 W corresponds to 0.28% of the power transfer of 10 kW, and 7% of the measured overall loss of 400 W. A 250-V, 5-kW bidirectional isolated dc-dc converter was constructed and tested in

$$I_{PP} = \frac{\pi V_{D1} d}{\omega L \cdot 2} \quad (17)$$

The dc-dc converter employed the third-generation planar-gate IGBTs rated at 600 V and 100 A. The overall loss of the dc-dc converter was measured at six switching frequencies from 10 to 20 kHz with a step of 2 kHz. This measurement enabled to extract the switching loss from the overall loss because the switching loss is proportional to the switching frequency. As a result, the switching loss was approximately 75 W at a power transfer of 5 kW and a switching frequency of 20 kHz. The switching loss of 90 W in the 350-V, 10-kW dc-dc converter in this paper can be a reasonable value, considering the raised voltage from 250 V to 350 V, the increased power from 5 to 10 kW, and the use of the latest trench-gate IGBTs.

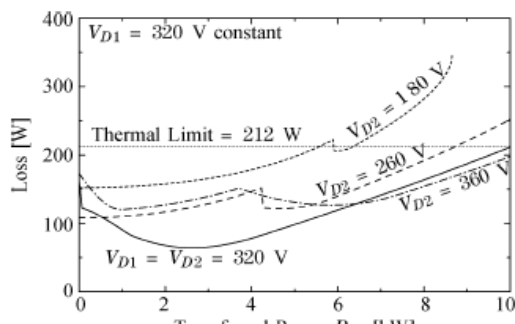


Fig.10 Sum of theoretical conducting and snubber losses (P+P) when P is positive.
B. Thermal Limit and V_{D2}

Fig.10 shows calculated results of conducting and snubber losses in the IGBTs when the power transfer is positive. One dc voltage was kept constant at 320V, while the other dc voltage was changed as a parameter. Achieving the ZVS operation becomes difficult with, compared to, resulting in an increased snubber loss around Fig. 10 defines it as a "thermal limit." The losses in the IGBTs, which are the most dominant in the overall loss, may make the IGBT modules mounted on a heat sink suffer from the highest temperature. The temperature of the IGBT modules, more precisely the semiconductor chips in the modules, determines the maximum power transfer. Therefore, this paper considers only the losses in the IGBT modules as the thermal limit.

VI. PEAK CURRENT IN THE AUXILIARY INDUCTORS

The ferrite cores in the auxiliary inductors would be magnetically saturated if the current exceeds 60 A because the magnetic flux density reaches 0.3 T as calculated by (14). The dc-dc converter has to be operated considering the limitation on the peak value of current. The peak current imposes limitations on the dc voltage when the peak current equals. Both the power loss and the peak current impose limitations on the power transfer and the dc voltage. Operation of the dc-dc converter has to satisfy both limitations. Fig. 12 shows the observed waveforms when one dc voltage is 320V while the other is 360V at from bridges 1 to 2. Fig. 13 shows another example of the observed waveforms which was taken when while at from bridges 2 to 1. The power transfer was limited below 5 kW, as shown in Fig. 11 when the dc-dc converter had a set of dc voltages of and the peak current was 60 A in Fig. 13, as calculated in this section.

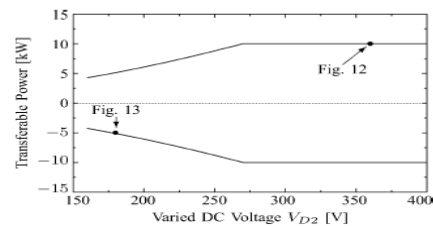


Fig.11 Transferable powers when the peak value of current is limited to 60A and two operating points in experiments.

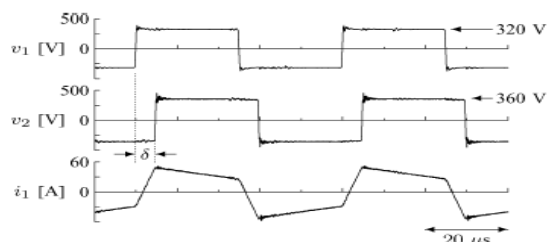


Fig.12 Experimental waveforms when $V = 320V$, $V = 360V$, $\phi = 35^\circ$ and $P = 10kW$.

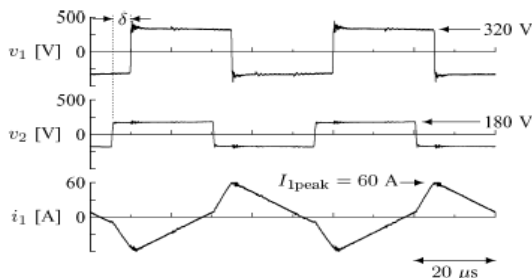


Fig. 13 Experimental waveforms when $V = 320V$, $V = 180V$, $\phi = 41^\circ$ and $P = 5kW$.

VII. APPLICATION TO AN ENERGY STORAGE SYSTEM

A. The 200-V, 10-kW, 2.6-kJ Laboratory Model

Fig.14 depicts the experimental energy storage system rated at 200 V, 10 kW, and 2.6 kJ. Circuit parameters in the dc-dc converter were the same as those in Table I. An electrolytic capacitor bank of 60,000 was used to simulate an EDLC bank. The capacitor bank is charged up to 350 V and discharged down to 190 V. Thus, energy of 2.6 kJ is stored into, and released out of, the capacitor bank. It corresponds to 70% of the energy stored in at. The carrier frequency of the PWM converter used as the front end was 10 kHz.

B. Charging and Discharging of the Capacitor Bank

Fig.15 shows the observed waveforms when the energy storage capacitor bank was repetitively charged up to 350 V, and then discharged down to 190 V. The waveform of v_{D1} was observed via a low-pass filter with a cut-off frequency of 800 Hz. The maximal power transfer was 9.3 kW. In this experiment, the phase shift had a square waveform with amplitude of $\phi = 35^\circ$ to make the controller simple. In actual energy storage systems, however, the power transfer should be given by power demand, or a higher level controller regulating the voltage on the utility grid.

VIII. STARTING PROCEDURE

At the starting of the system, an inrush current would flow into the auxiliary inductors and the transformer if bridge 1 produced a square voltage of around the inrush current would result in magnetic saturation in the cores of leading to an even larger inrush current. Hence, this paper presents a special operation mode called "recharging operation" to charge the capacitor bank from zero to the rated voltage of 320 V, preventing such an inrush current from flowing. The recharging operation allows the energy storage system to require no external starting-up or recharging circuit. The recharging operation presented in this paper can be considered as a well-known "soft-start" procedure. Fig.14 A 200-V, 10-kW experimental circuit with energy-storage electrolytic capacitors of 60000-F to simulate an EDLC bank. However, experimental verification of the recharging operation makes a technical contribution to the energy storage system with the large dc capacitor bank. In this experiment, had been already charged up to 320 V before the dc-dc converter started the recharging operation. Bridge 1 produced a voltage with a duty ratio of rather than 100% while bridge 2 was operated as a diode rectifier with all the IGBTs kept off. The first pulse of had a half duty ratio of to suppress dc magnetization of the transformer. The starting procedure took approximately 8 s to charge the capacitor bank from zero to 320 V. No excessive inrush current flowed into the capacitor bank. When the voltage across the capacitor bank, reached 275 V, the dc-dc converter changed its operation mode from the recharging operation to the normal operation with the square voltages of and having no phase shift (and) the power transfer in the normal operation at has a negative-feedback effect to balance the two dc voltages and due to the existence of the dead time. Therefore was charged up to the same voltage as naturally after reached 275 V.

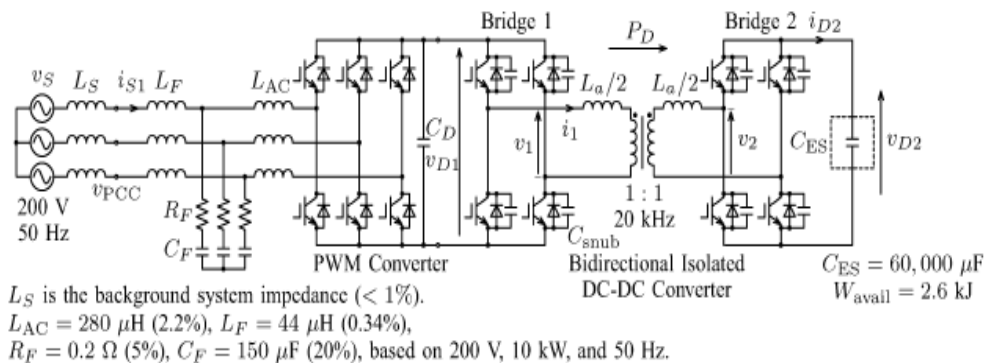


Fig. 14. A 200-V, 10-kW experimental circuit with energy-storage electrolytic capacitors of 60000- μ F to simulate an EDLC bank.

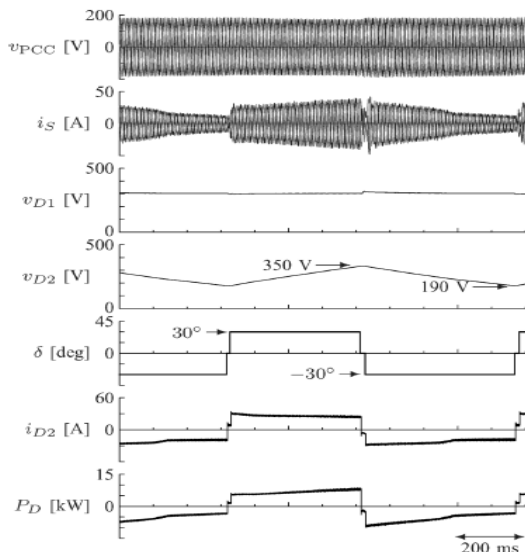


Fig. 15 Experimental waveforms of charging and discharging of the capacitor bank ($W = 2:6$ KJ)

IX. CONCLUSION

This paper has addressed a bidirectional isolated dc-dc converter suitable for an energy storage system. Theoretical calculations of power losses and peak current have clarified the dc-voltage limitations in the energy storage system. Experimental results have revealed that the dc-dc converter can charge and discharge the capacitor bank properly. Moreover, the dc-dc converter can charge the capacitor bank from zero to the rated voltage without any external recharging circuit.

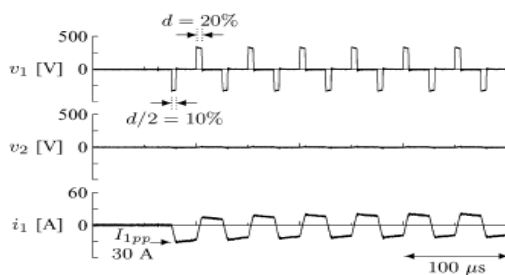


Fig. 16 Transient waveforms when the dc-dc converter starts recharging the capacitor bank.

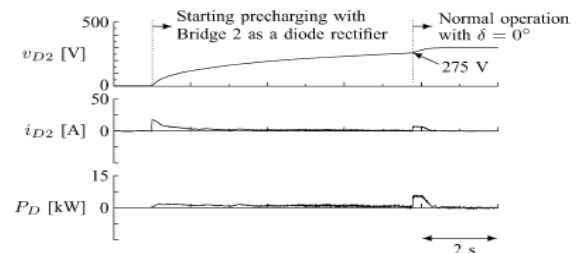


Fig. 17 Experimental waveforms of dc voltage, current, and power in the recharging period.

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